

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lawrence G. Clawson and Mark R. Hagan  
Application No.: 09/870,412 Group: 1745  
Filed: May 30, 2001 Examiner: J.A. Mercado  
Confirmation No.: 7092  
For: JOINT-CYCLE HIGH-EFFICIENCY FUEL CELL  
SYSTEM WITH POWER GENERATING TURBINE

RECEIVED  
OCT 07 2003  
TC 1700

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

10-1-03  
Date

Donna Bartolone  
Signature

Donna Bartolone

Typed or printed name of person signing certificate

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Second Preliminary Amendment for filing in the above-identified application.

[ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

[ ] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)		(COL. 2)		(COL. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	80	MINUS	* 80	0
INDEP	9	MINUS	** 9	0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

\* not fewer than 20  
\*\* not fewer than 3

SMALL ENTITY		
	RATE	ADDIT. FEE
X	\$ 9	\$
X	\$42	\$
+	\$140	\$

TOTAL = \$ 0

OTHER THAN SMALL ENTITY		
	RATE	ADDIT. FEE
X	\$18	\$ 0
X	\$84	\$ 0
+	\$280	\$

TOTAL = \$ 0

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for [            ] month Extension of Time	\$	_____
<input type="checkbox"/>	Amendment Fee	\$	_____
<input type="checkbox"/>	Other Fees:		
	_____	\$	_____
	_____	\$	_____
	TOTAL:	\$	<u>0</u>

A check is enclosed in payment of the following fees:

<input type="checkbox"/>	Petition for [            ] month Extension of Time	\$	_____
<input type="checkbox"/>	Amendment Fee	\$	_____
<input type="checkbox"/>	Other Fees:		
	_____	\$	_____
	_____	\$	_____
	TOTAL:	\$	<u>0</u>

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

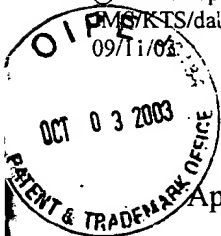
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Kevin T. Shaughnessy  
Kevin T. Shaughnessy  
Registration No.: 51,014  
Telephone (978) 341-0036  
Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated: 9/30/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Lawrence G. Clawson and Mark R. Hagan

Application No.: 09/870,412      Group: 1745

Filed: May 30, 2001      Examiner: J.A. Mercado

Confirmation No.: 7092

For: Joint-Cycle High-Efficiency Fuel Cell System With Power  
Generating Turbine

# 11/13  
230,32

RECEIVED  
OCT 07 2003  
TC 1700

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
<u>10-01-03</u>	<u>Donna Bartolone</u>
Date	Signature
<u>Donna Bartolone</u>	
Typed or printed name of person signing certificate	

SECOND PRELIMINARY AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please amend the application as follows: